

DRAFT TECHNICAL REFERENCE DOCUMENT ON STRENGTHENING REGULATORY CAPACITY

#### REGULATION OF NONCOMMUNICABLE DISEASE RISK FACTORS IN THE AMERICAS







### TOO BIG TO FAIL TO ADDRESS

- 75% OF DEATHS IN THE AMERICAS
- 2.900,000 DEATHS ANNUALLY
- 1.4 MILLION PREMATURE DEATHS BEFORE AGE 70
- 200,000,000 PEOPLE IN THE AMERICAS LIVING WITH ONE OR MORE NCDS
- MOST HEALTH SYSTEM EXPENDITURES
- THREAT TO SUSTAINABILITY OF UNIVERSAL HEALTH SYSTEMS



#### TOP 3 WENT FROM 31% TO 53% OF DEATHS BETWEEN 1990 TO 2010



CARDIOVASCULAR DISEASE 15% TO 28%

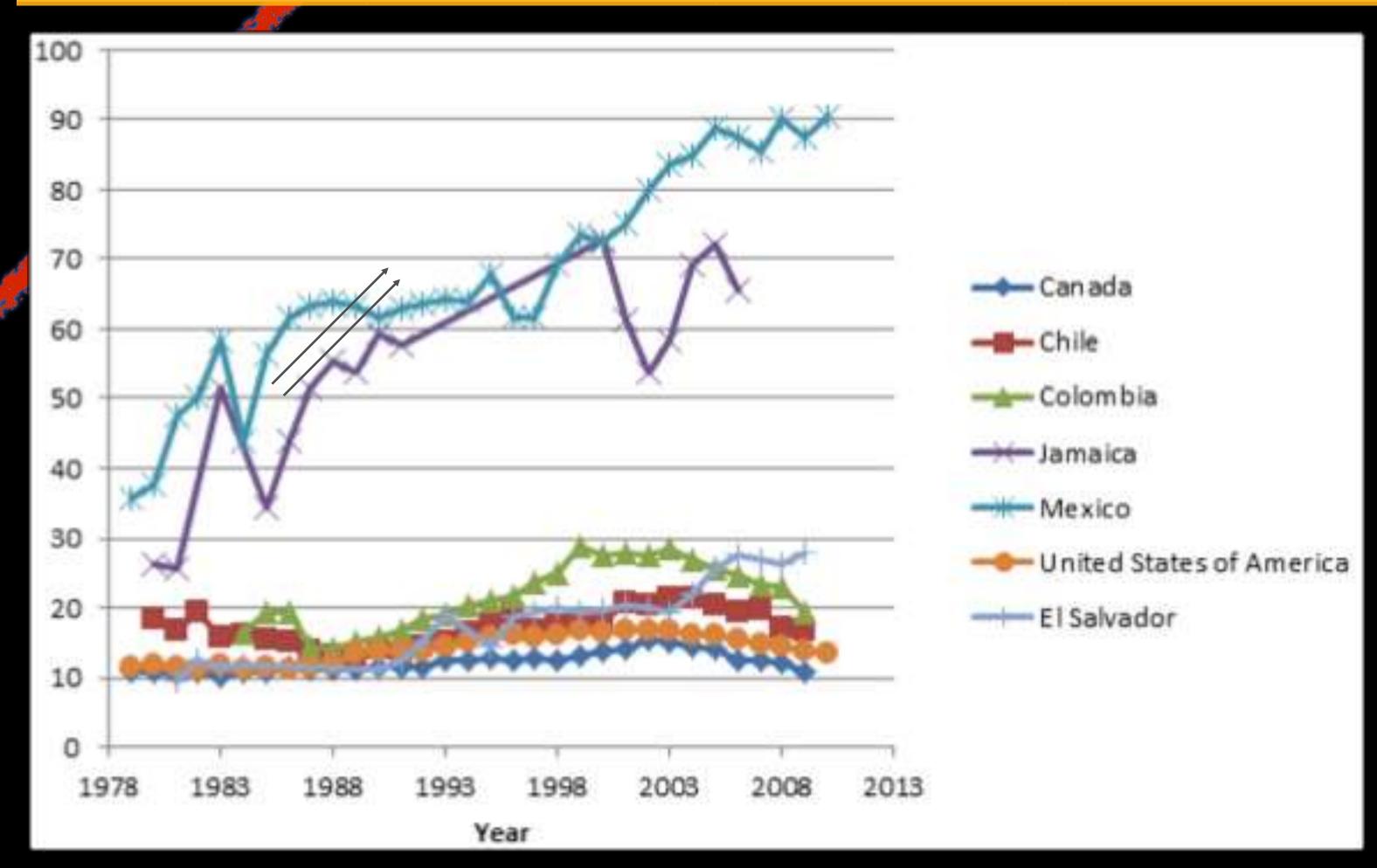
DIABETES 5% TO 10%

CANCER 11% TO 15%





Diabetes mortality rates in selected countries of the America (age-standardized death rates per 100,000; both sexes; all age





GLOBAL COSTS OF 5 LEADING NCDS 2011-2030 USD 46.7 TRILLION



CARDIOVASCULAR DISEASE IN AMERICAS 2010 USD 176 BILLION DIRECT/127 BILLION INDIRECT





#### WORLD ECONOMIC FORUM 2011

"ECONOMIC POLICY-MAKERS ARE NATURALLY
CONCERNED ABOUT ECONOMIC GROWTH. THE
EVIDENCE PRESENTED IN THIS REPORT INDICATES
THAT IT WOULD BE ILLOGICAL AND IRRESPONSIBLE
TO CARE ABOUT ECONOMIC GROWTH AND
SIMULTANEOUSLY IGNORE NCDS. INTERVENTIONS IN
THIS AREA WILL UNDENIABLY BE COSTLY. BUT
INACTION IS LIKELY TO BE FAR MORE COSTLY"



4 NCD
Risk
Factors

DIETARY RISKS 1,375,000 DEAD 2010

TOBACCO 813,000 DEAD

HARMFUL USE

OF ALCOHOL

304,000 DEAD

PHYSICAL
INACTIVITY
470,000 DEAD

underlie more than 2/3rds of new cases of NCDs also cause complications in people with NCDs caused by mankind can be changed by mankind

Source: Global Burden of Disease, IHME data not additive. Americas Region



#### 16 % OF ALL ADULT DEATHS IN REGION



#### 145 MILLION SMOKERS

2% OF ADULT RESIDENTS 7% BARBADOS TO 41% CHILE

INCREASING TRENDS IN WOMEN

CONTINUING INTENSIVE MARKETING
AND HARMFUL NEW ADDICTIVE
PRODUCTS LIKE ECIGS AND
FLAVORS



#### HARM RISING 50% INCREASE IN DALY'S 1990-2010

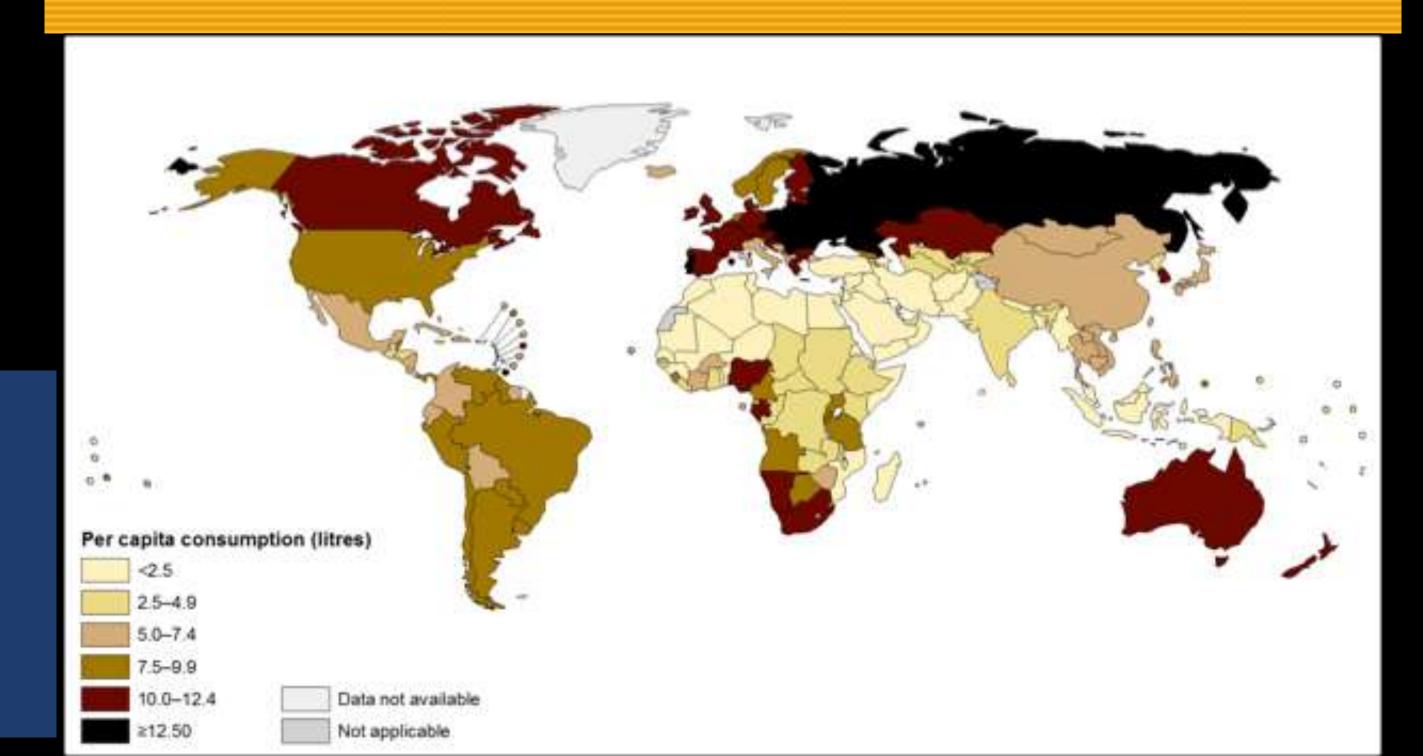
2ND HIGHEST REGION IN DRINKING RATES

MOST COMMON UNDERLYING BEHAVIORAL RISK FOR DEATHS IN YOUNG

10% OF COLORECTAL CANCER, 8% BREAST CANCER, 10% HYPERTENSIVE HEART DISEASE GLOBALLY



ANNUAL ALCOHOL
CONSUMPTION PER
CAPITA (15 + YEARS)
IN LITERS OF PURE
ALCOHOL,
2010





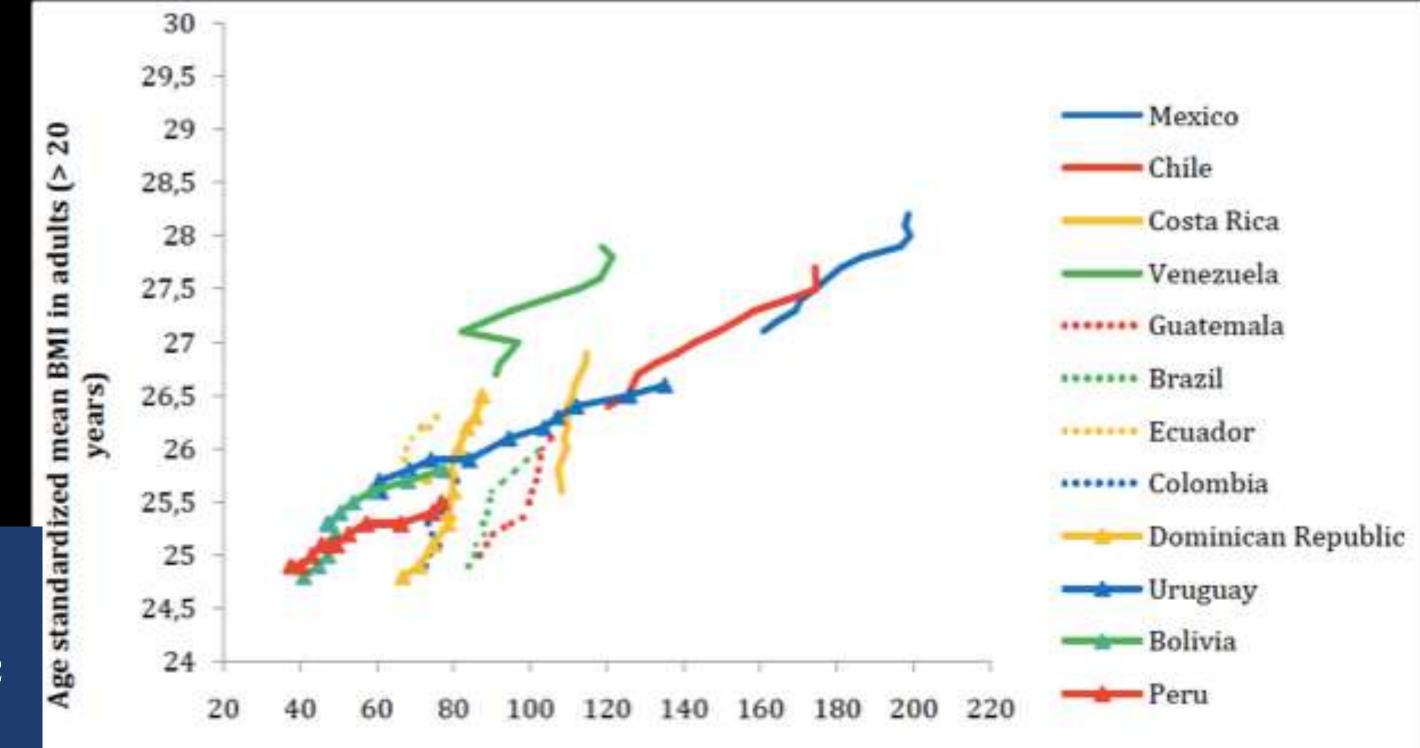
#### 11.4 MILLION DEATHS GLOBALLY IN 2010, 12% IN AMERICAS

# DIETARY RISKS

INCREASED URBANIZATION

WOMEN IN WORKFORCE

INTENSIVELY MARKETED PROCESSED FOODS AND BEVERAGES



Per capita annual sales of ultra-processed products (kg)

PER CAPITA ANNUAL SALES OF ULTRA-PROCESSED FOOD AND DRINK PRODUCTS IN 12 LATIN AMERICAN COUNTRIES, 1999-2009

BODY MASS INDEX IN ADULTS AND



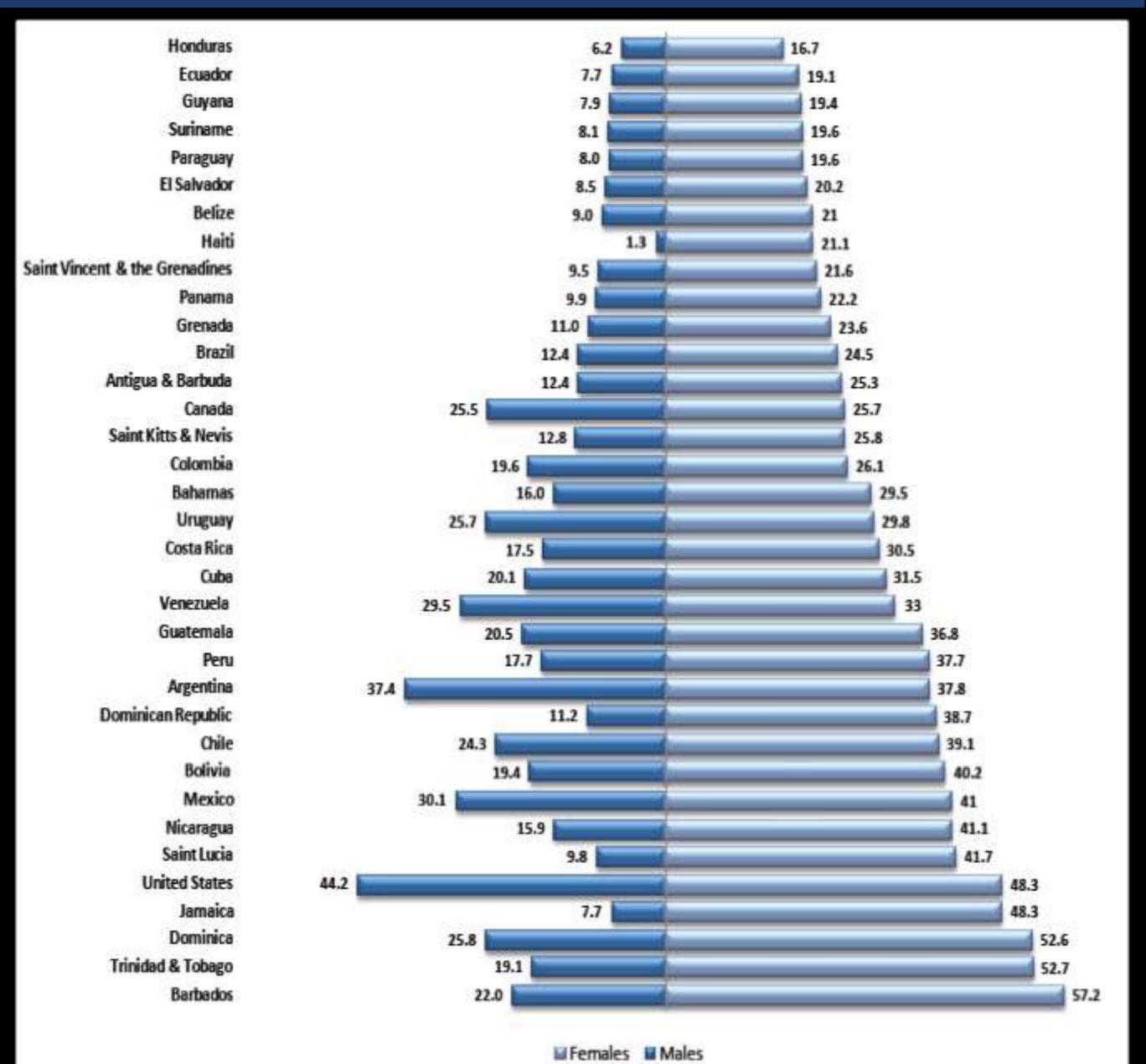
# DIETARY RISKS

salt
low fruit and vegetable intake
trans and saturated fat
sugar sweetened beverages
and more



### Obesity

#### ESTIMATED ADULT OBESITY (BMI>30) PREVALENCE (%), 2010





1 IN 2 RESIDENTS OF THE REGION DON'T GET 150 MINUTES PER WEEK MODERATE ACTIVITY

70%

60%

RAISES RISK OF ALL CAUSE MORTALITY 20-30%

INCREASES RISK INDEPENDENT OF OBESITY

RELATED TO URBAN DESIGN,
VIOLENCE, INCOME,
ENVIRONMENTAL CHANGES



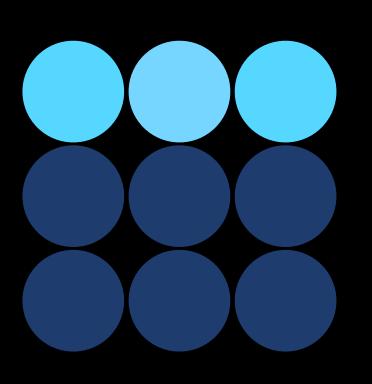
50% - 40% - 30% - 20% - 10% -

■Walk

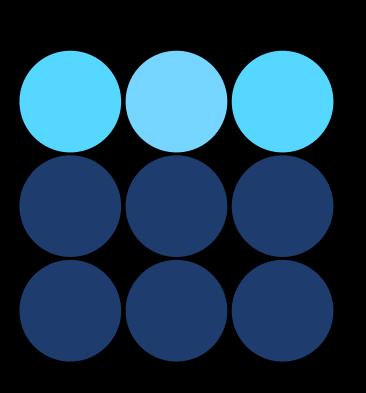
□Bike

ACTIVE TRANSPORTATION
AND OBESITY RATES
BY COUNTRY, BASSETT 2008

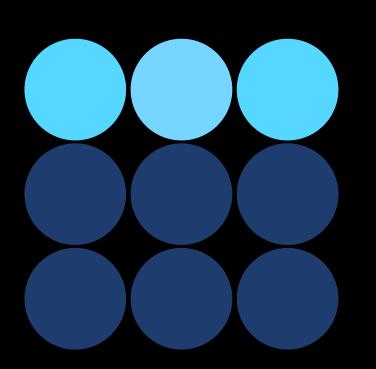




# WE CANNOT TREAT OUR WAY OUT OF THE NCD EPIDEMIC



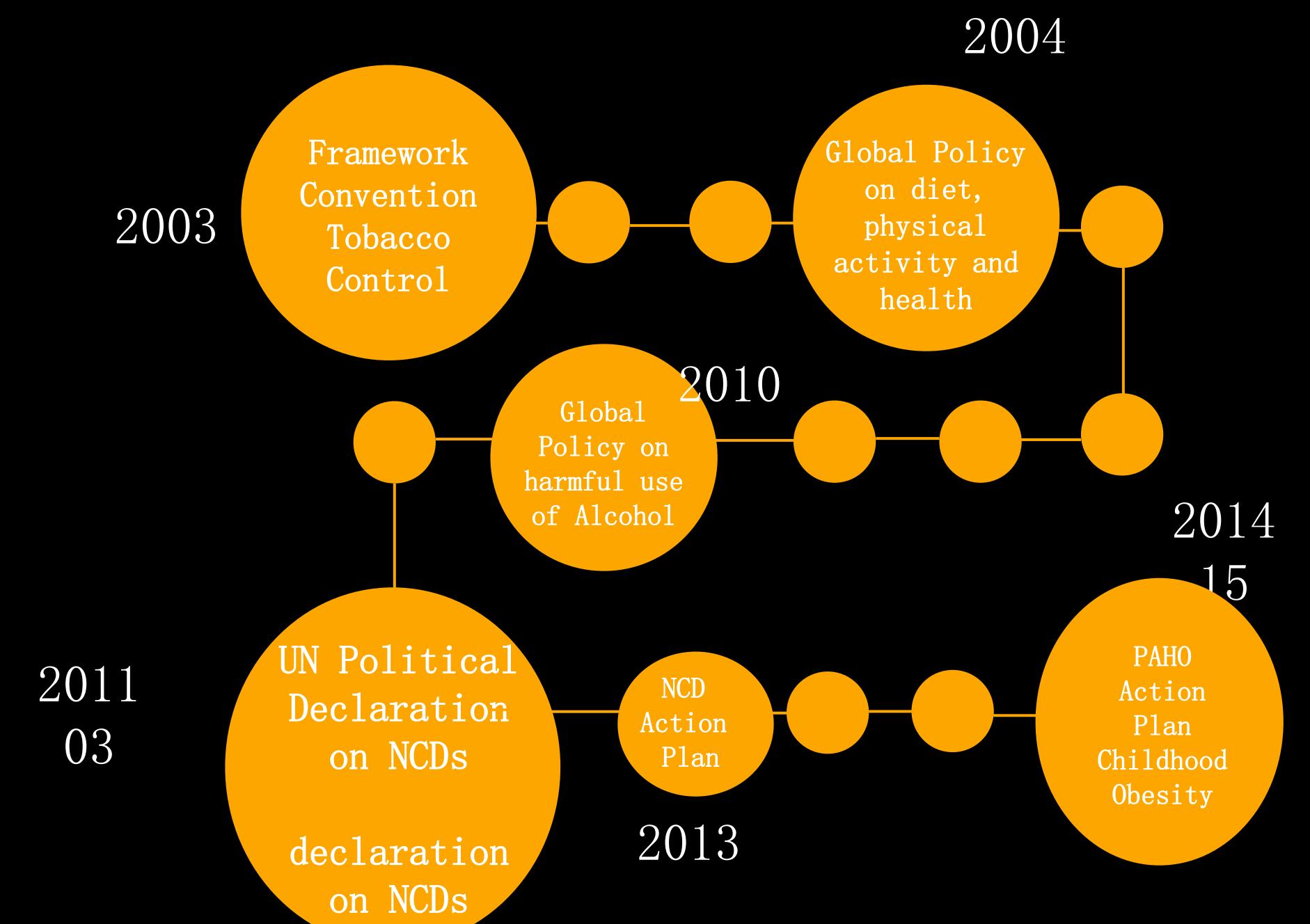
# NO CHOICE BUT TO ADDRESS ROOT CAUSES



# INTERNATIONAL POLITICAL COMMITMENT TO NCDS











#### REDUCE PREMATURE MORTALITY BY 25% BY 2025

Reduce
Tobacco Use
by 30%

Reduce
Salt Intake
by 30%

Reduce
harmful use
of alcohol
by 10%

Reduce
Physical Inactivity
by 10%

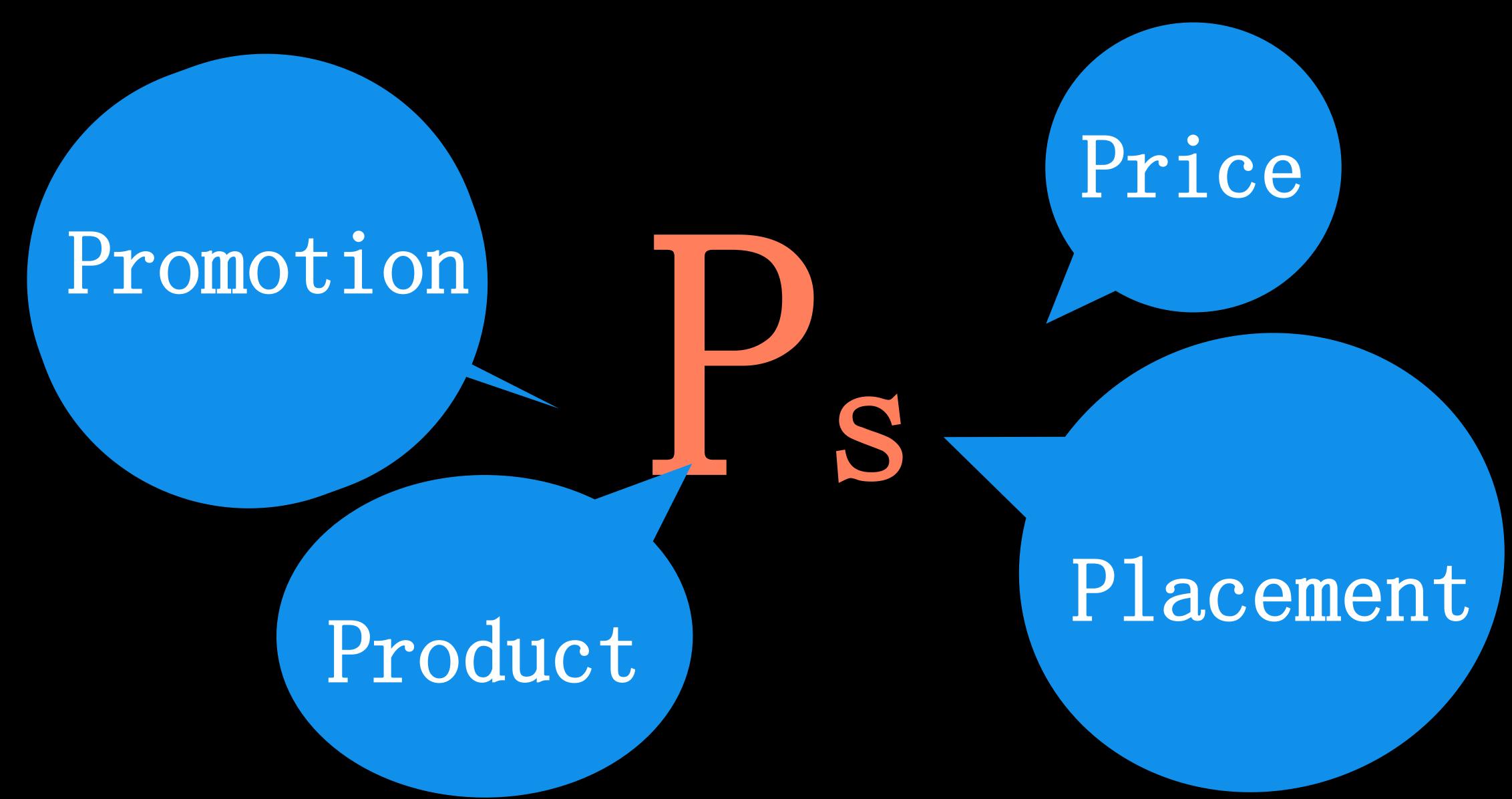
Reduce Raised BP by 25%

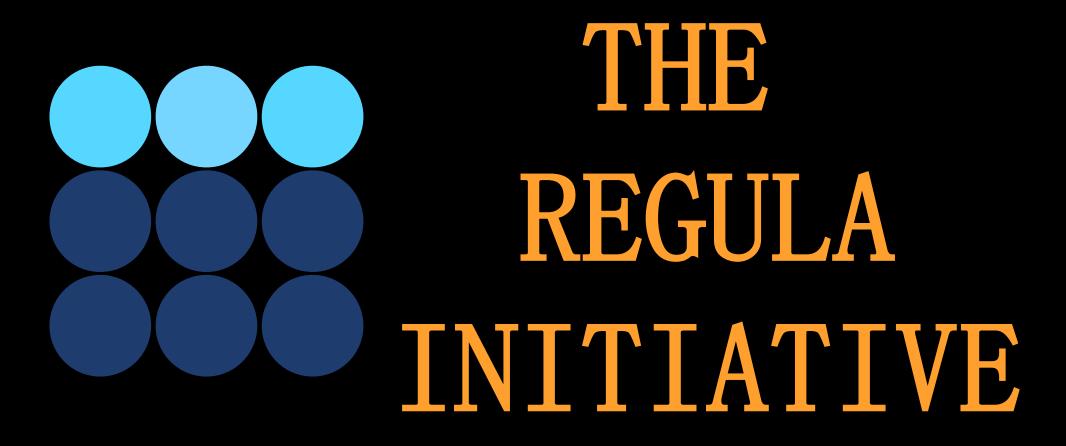
Halt the rise in obesity and diabetes

Restrict
marketing
of unhealthy food
to children

Reduce saturated fat; near elimination of trans fat

TOBACCO, FOOD AND ALCOHOL SHARE MARKETING STRATEGIES AND REGULATORY CHALLENGES





#### A DOUBLE CHALLENGE



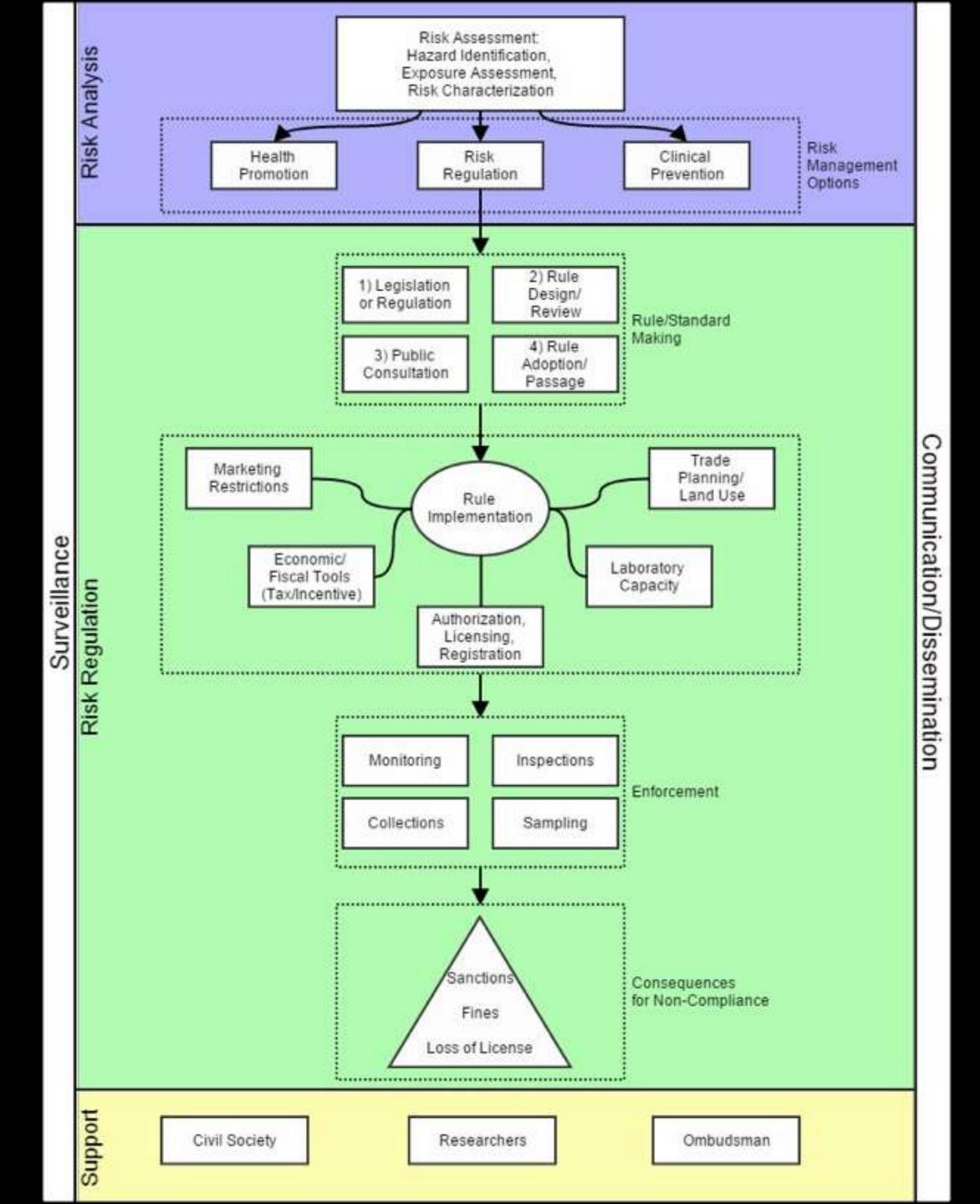
#### OECD DEFINITION

#### Regulation

" ANY INSTRUMENT BY WHICH
GOVERNMENTS, THEIR SUBSIDIARY
BODIES AND SUPRANATIONAL BODIES
(SUCH AS THE EU OR THE WTO) SET
REQUIREMENTS ON CITIZENS AND
BUSINESSES THAT HAVE LEGAL FORCE"



# S 田 R R



# TOOLBOX THE 0 R L S T00LS O M

#### ECONOMIC INSTRUMENTS

TAXES
MINIMUM PRICES
INCENTIVES
FEES
SUBSIDIES
USE OF PUBLIC PURCHASING

PRODUCT REGULATION

PROHIBIT
CONTENT REGULATION
SIZE
PLACE OF SALE
PERMITS OR LICENSES

CONSTRAINTS ON MARKETING

PROHIBIT
LIMITS ON AGE, TIME, PLACE, MANNER
PLAIN PACKAGING

INFORMATION

LABELLING
DISCLOSURE REQUIREMENTS

SETTINGS BASED AND SOCIAL NORMS

SMOKE FREE AIR
SCHOOL, DAYCARE OR WORK STANDARDS

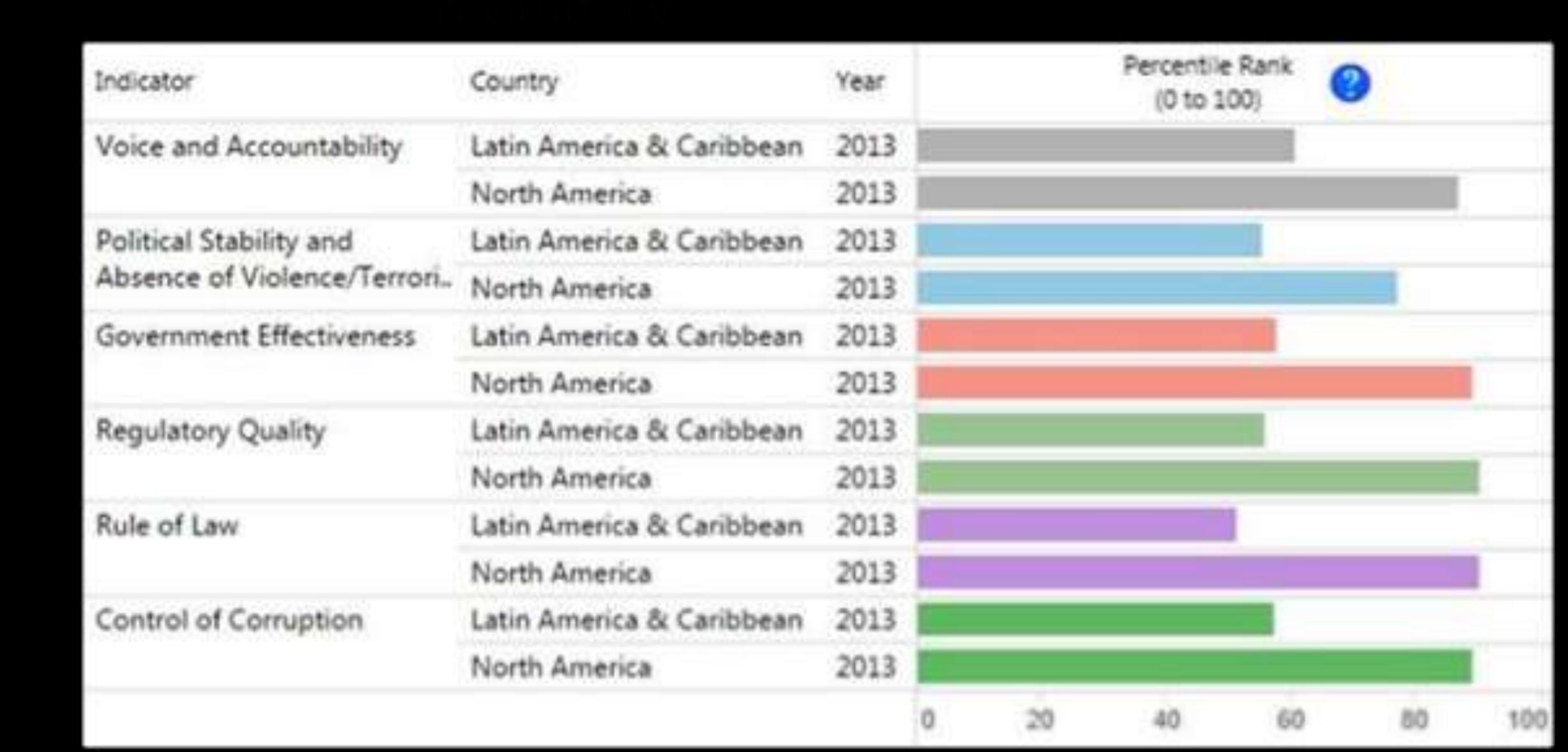
ALTERNATIVES

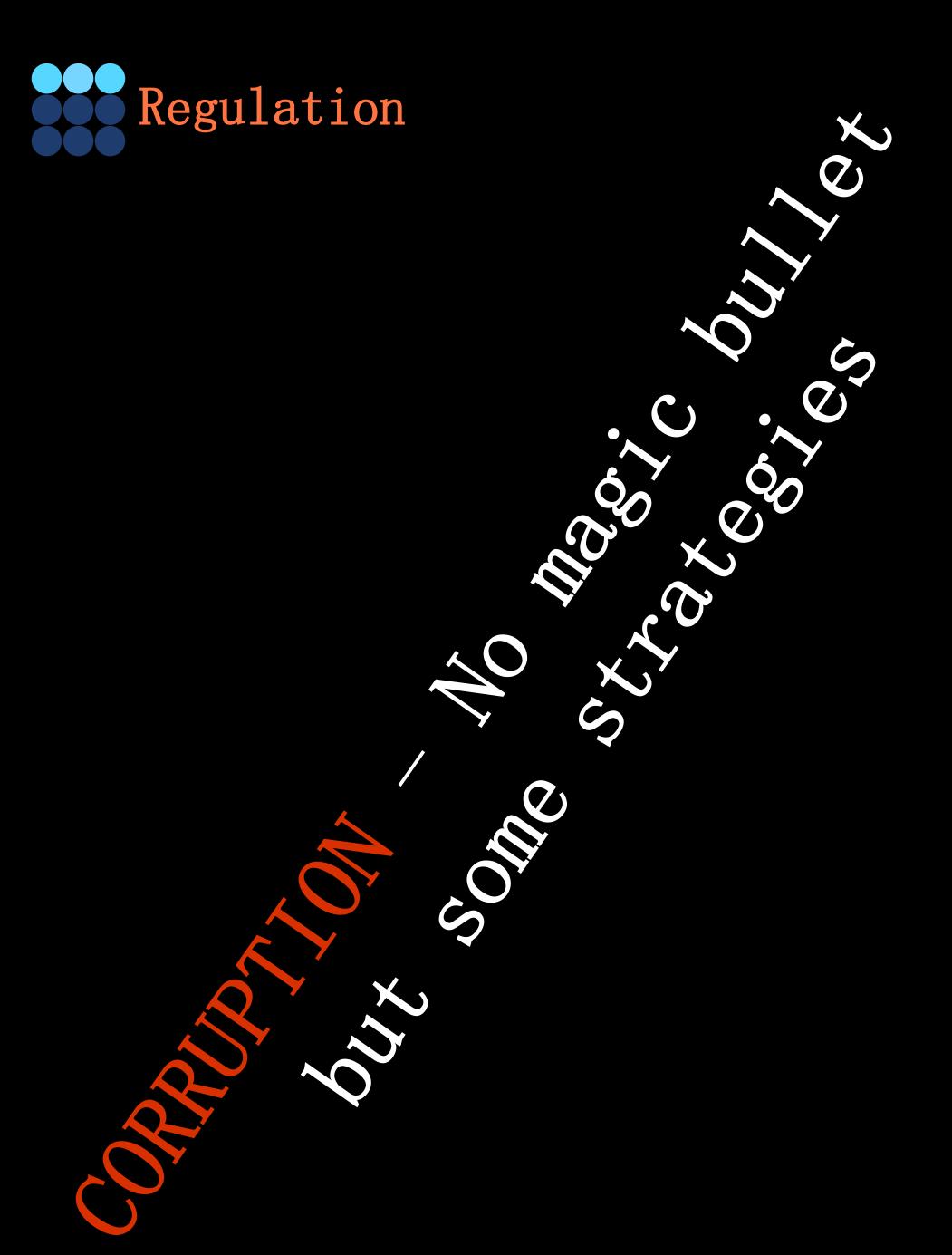
HEALTH PROMOTION
SELF REGULATION



### REGULATION AS PART OF GOVERNANCE







• Stakeholder Participation

#### Monopoly management

- Clarity in communication
- Eliminate differential

#### information

- Decreasing concentration of power
- Shared control over the process

#### Eliminating discretion

- Increasing Transparency
- Decreased transaction costs
- Reduced opportunity costs
- Limited direct contact with the regulated entity
  - Control of conflict of interest
- Reasonable and defined times for response

#### Assuring accountability

- Established structures for accountability
- Reduce space for discretionary action
  - Staff professional meritocracy



+ Influence the Political and legislative proc

- + Exaggerate the economic importance of the industry & negative impact of regulation
- Manipulate public opinion to improve the industry's image
- + Facilitate support through front groups
- + Discredit proven science
- Intimidate governments with litigation

Source - WHO 2013



Trade

+ Need to go beyond access to medication + Assure right to protect public health in all agreements, global and regional

Work with Ministries of foreign relations and others

to keep public health on the agenda

+ WTO SPS agreements : "Members shall ensure

that their sanitary or phytosanitary measures are based on an assessment, as appropriate to the circumstances, of the risks to human, animal or plant life or health, taking into account risk assessment techniques developed by the relevant international organizations"



### No, we can't.

## health promotion is great, but:

Can't we ducate our way out of this?

- 1. We can't outspend corporate marketing or even come close
- 2. Consumers have imperfect, biased and unbalanced in
- 3. Difficulty of accessing all social groups
- 4. Health promotion usually targets those at highest so most people who will develop a condition are not re-
- 5. Financial difficulty to take to scale and sustain
- 6. Less effective than environmental change



# Regulation Poor substitute, usually used to delay or disarm governmental action

elf-regulation?

If you use it, use the other playbook: Independent scientific standards, Transparent and required external monitoring not financed by industry Clear and significant objecti Stewardship by government or other credible body





# The Big 4 TOBACCO - THE FRAMEWORK CONVENTION ON TOBACCO CONTROLL Demand reduction:



- Price and tax measures
- Protection from exposure to tobacco smoke.
- Regulation of the contents
- Regulation of disclosures.
- Packaging and labeling
- Education, communication, training and public awareness.
- Advertising, promotion and sponsorship.
- Reduction measures concerning tobacco dependence and cessa

#### Supply reduction:

- Illicit trade in tobacco products.
- Sales to and by minors.
- Provision of support for viable economic alternative

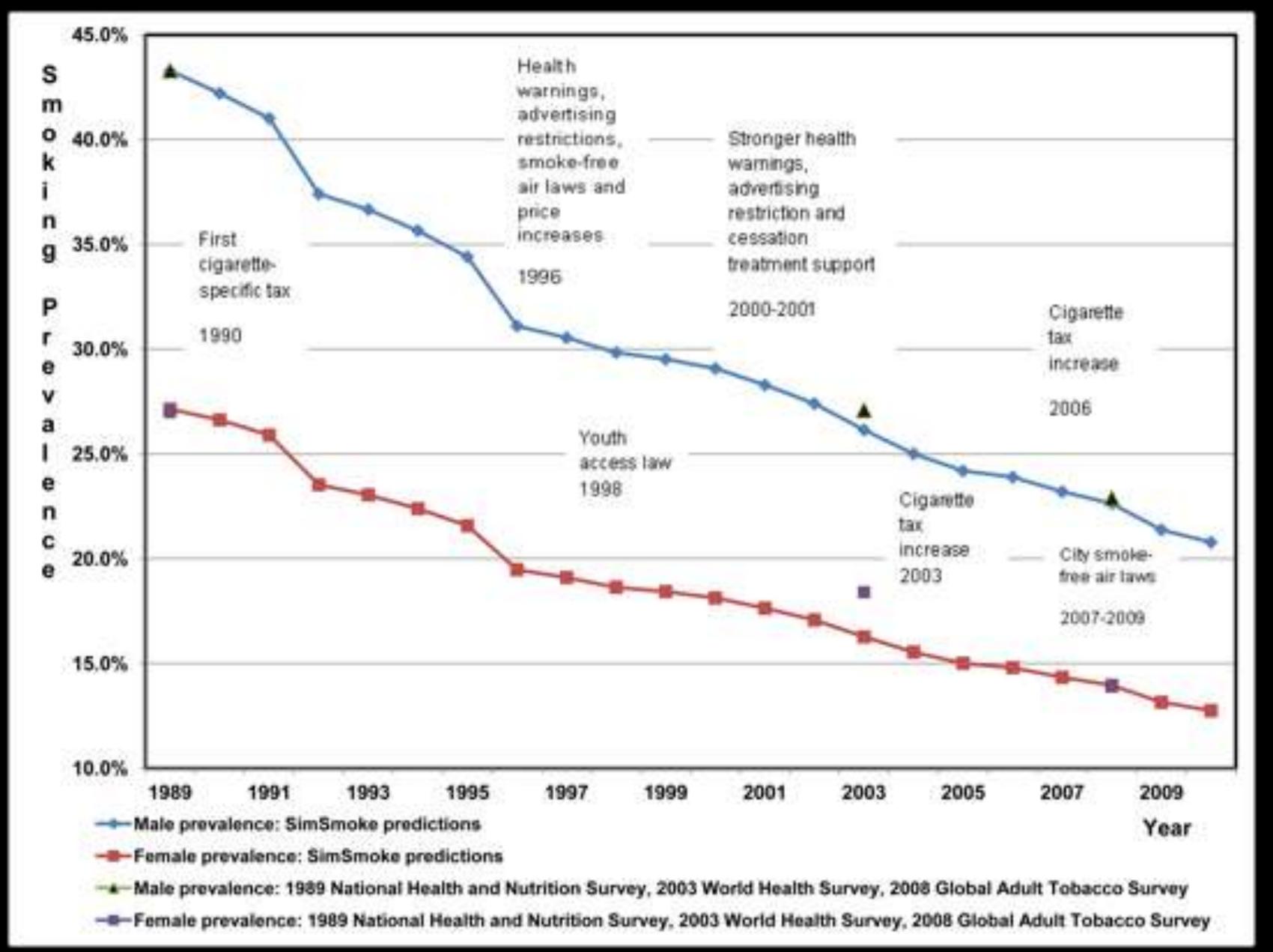
Reporting, Monitoring & Conference of the Parties



New:
Banned E cigs
Flavored products

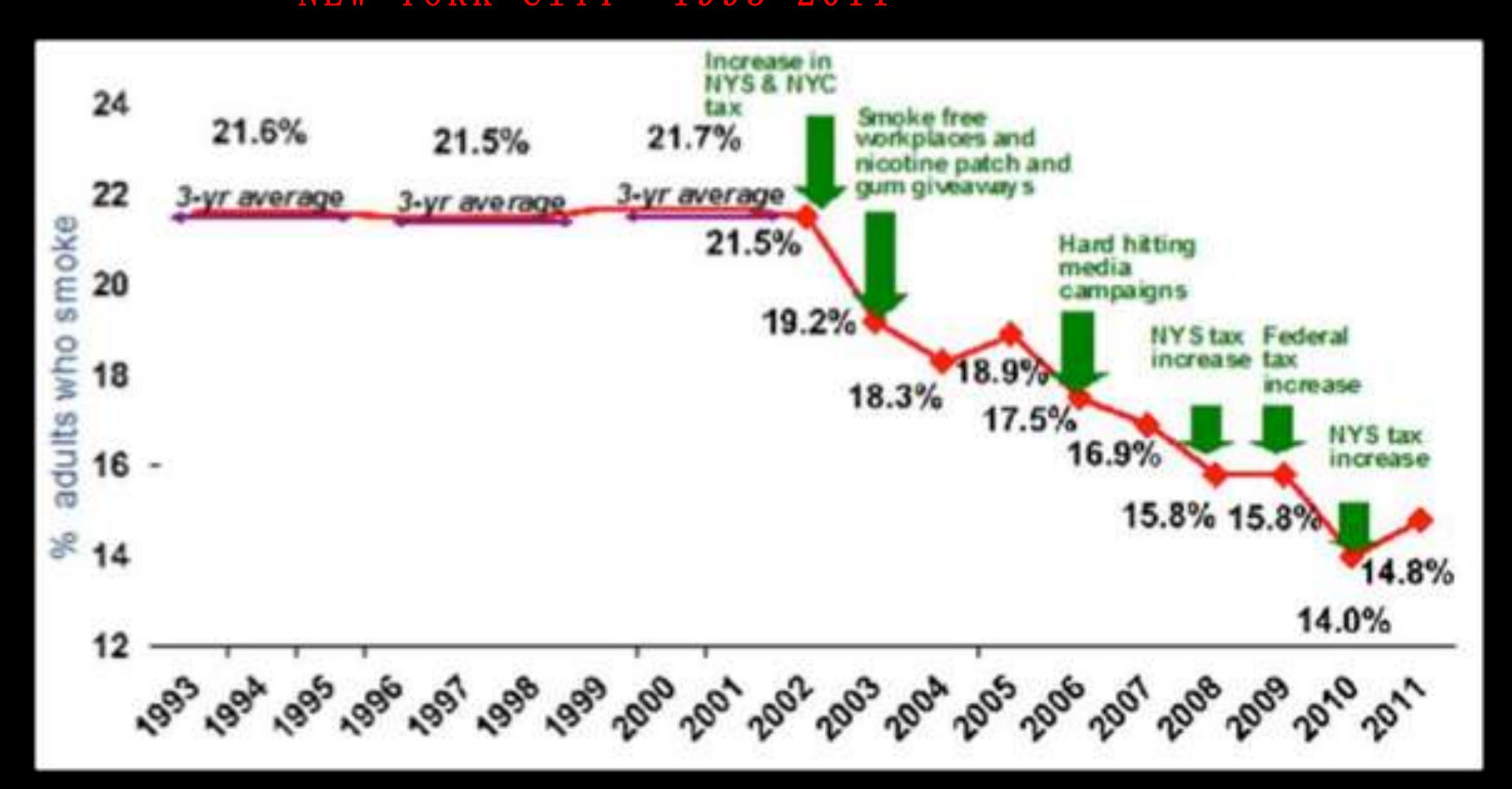
#### MULTIFACETED TOBACCO CONTROL SUCCESS

BRAZIL 1989-2009



The Big 4

## MULTIFACETED TOBACCO CONTROL SUCCESS NEW YORK CITY 1993-2011

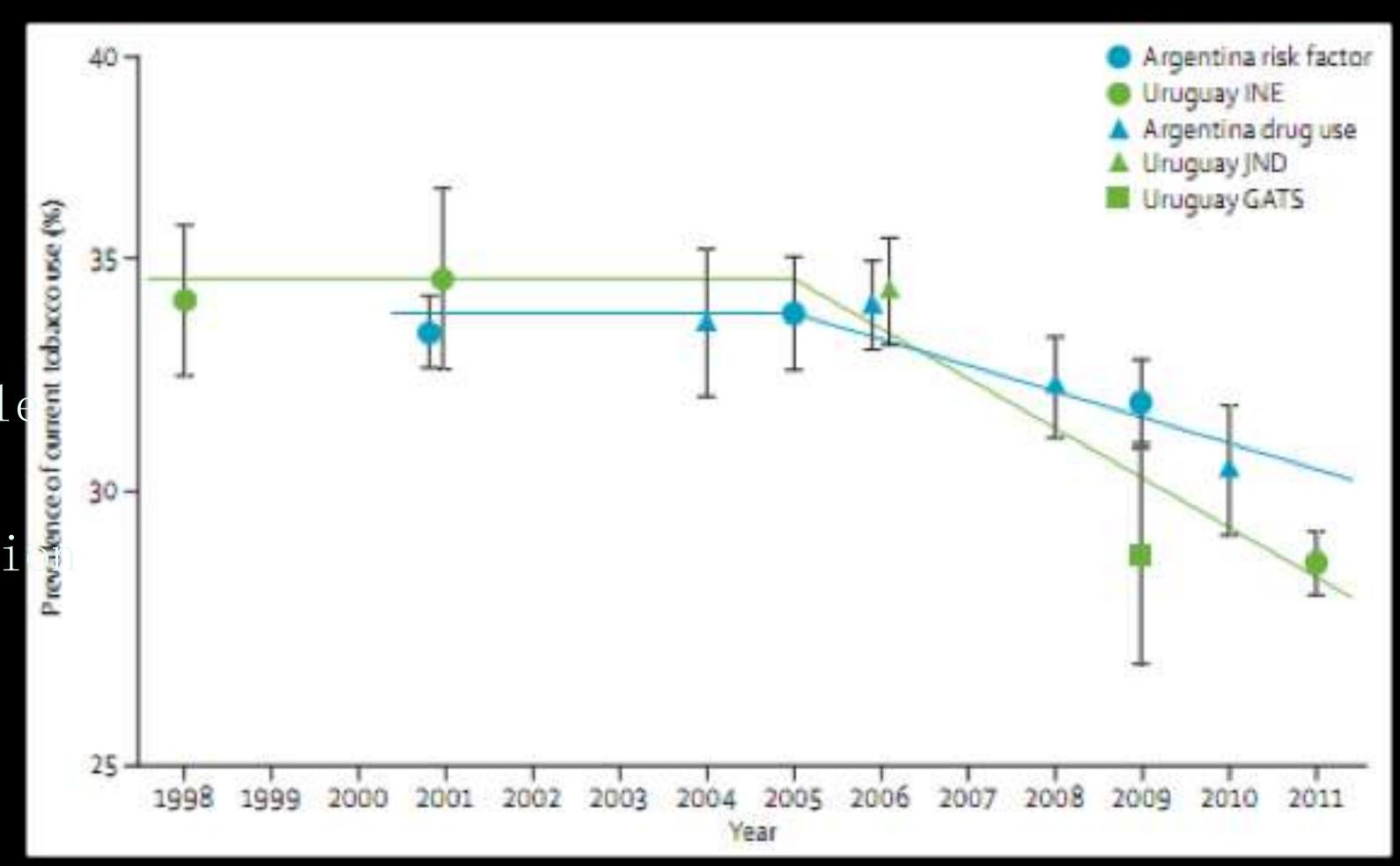


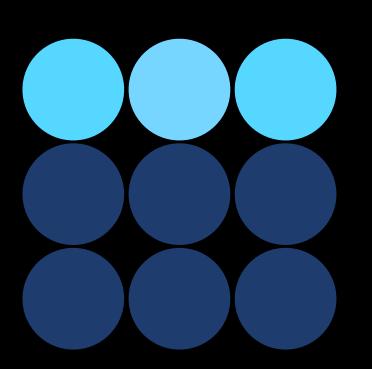


### MULTIFACETED TOBACCO CONTROL SUCCESS URUGUAY 1998-2011

#### Early FCTC adherent

- Monitoring
- Smoke free air
- Cessation support in PHC
- Graphic packaging 80% front and back;
- Ban on misleading terms
- Restricted brands to a single
- presentation each;
- Banned all advertising promoti and sponsorship =
- Raise taxes prices 88%
- Ban on e cigarettes





# THESE TOBACCO MEASURES WORK



STATUS OF TOBACCO CONTROL

IN THE AMERICAS

LOTS OF PROGRESS BUT

WE STILL HAVE ALONG WAY TO GO

(THE DARKER THE BETTER)

COUNTRY	ADULT DAILY SMOKING PREVALENCE (2011)	MONITORING	P SMOKE-FREE POLICIES INCLUMENTS INVECTOR	O CESSATION PROGRAMMES	WARNINGS.		ADVERTISING MANS	TAXATION
					HEAD'N WARNINGS	MASS MEDIA	DMCMCI INCHESING	
Antigua and Barbuda			in ni					154
Argentina	17%		-				100	68%
Bahamas								30%
Barbados	5%		***					49%
Belize	4%				7			2116
Bolivia (Pfurinational State of)	5%							47%
Brazil	15%		<b>    </b> 0				III	63%
Canada	13%		1901				MIII	64%
Chile	27%		-				-	81%
Colombia	14%		****					46%
Costa Rica	6%							72%
Cuba								75%
Dominica	5%		- 6				5	27%
Dominican Republic	14%							59%
Ecuador					-		Fills	73%
El Salvador	5%							52%
Grenada	***		186					
Guatemala	3%		2000					49%
Guyana	10%				9			10%
Haiti			-					
Honduras	222		THE L				EIII	34%
Jamaica	222							47%
Mexico	7%		III to					67%
Nicaragua	***		****				- 3	29%
Panama	5%		-		. 7		THE .	57%
Paraguay	13%							1778
Peru			-					-UK
Saint Kitts and Nevis	5%		1 - 1					- m-
Saint Lucia	***		1 20 1					70%
Saint Vincent and the Grenadines	1000						8	THE
Suriname	200							61%
Trinidad and Tobago			-					IIN
United States of America			B 5					Gh.
Uruguay	20%		1000				THE REAL PROPERTY.	69%
Venezuela (Bolivarian Republic of)	***		THE REAL PROPERTY.					71%

**CHANGE SINCE 2010** 

SMOKE FREE CESSATION

POUCES

.

PROGRAMMES

HEADR

WARNINGS

CHANGE IN POWER DESCRICK CROSS OF DISCOVER, SACE JOH.

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R TAXATION

ADVERTISING

#### DIETARY RISKS

From a dietary perspective, it is now recognized that the individual's food preferences, purchasing decienting behaviors are shaped by price, marketing, availa and affordability. These factors are in turn influenced policies and regulations on trade and agriculture (PAHO)

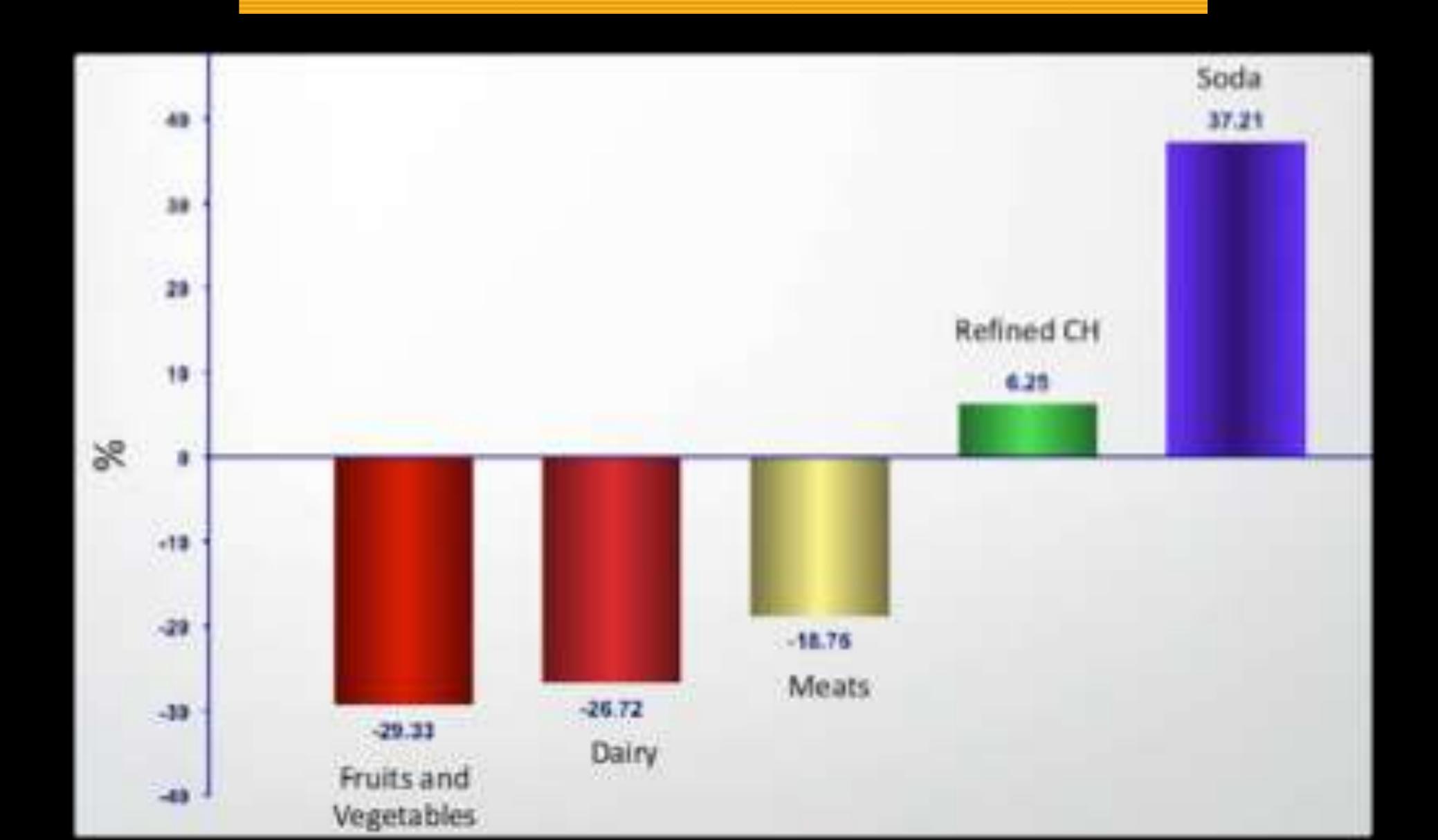




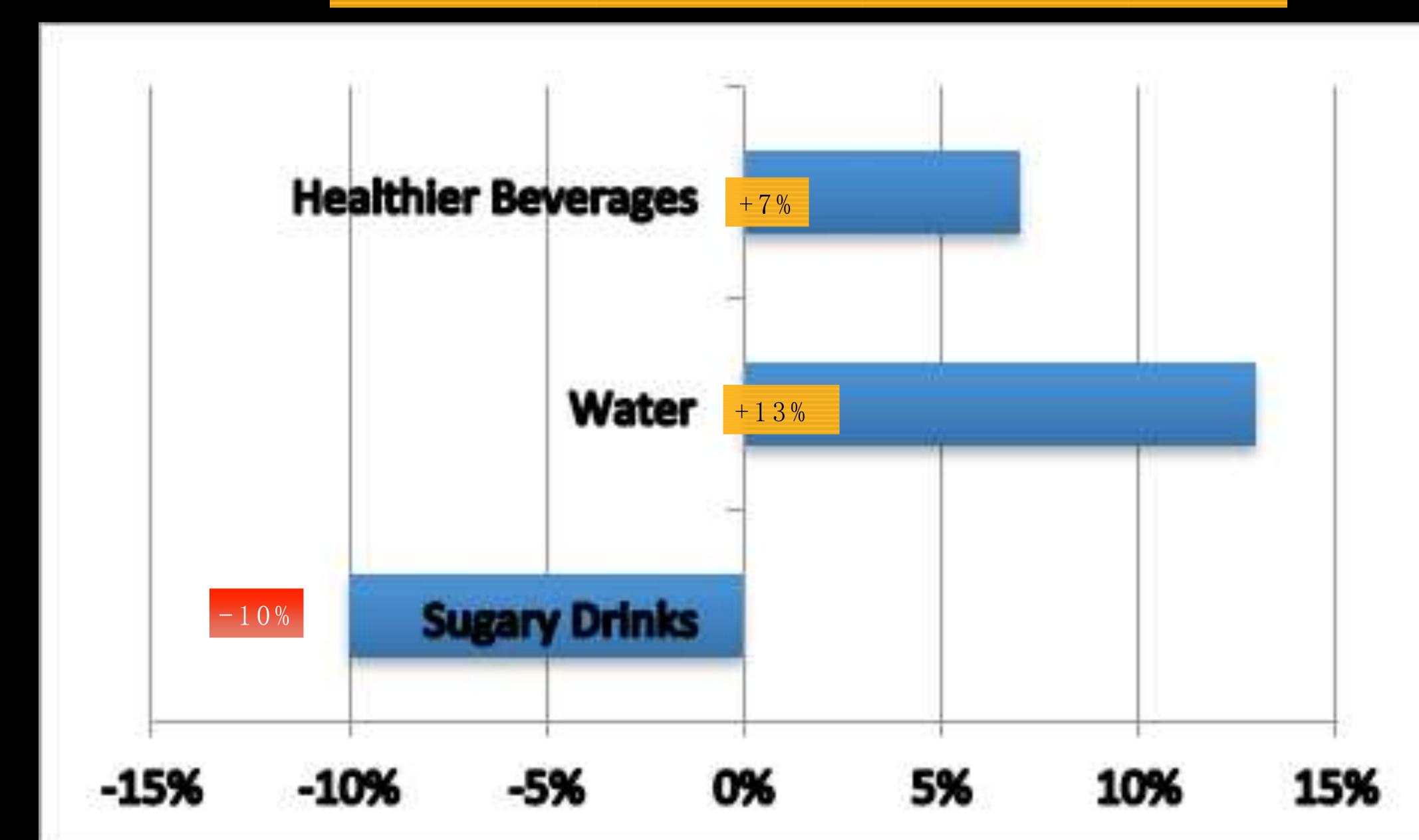




BEFORE: TRENDS IN EXPENDITURES ON SODA AND OTHER FOOD PRODUCTS MEXICO 1986-1998 (% CHANGE IN HOUSEHOLD EXPENDITURE)



Source: Rivera Demarco 2002



Source: Colchero 2014



Harmful use of alcohol

Building leadership, awareness and commitmed Strengthening the response of health service Reducing the availability of alcohol

Marketing restrictions

Pricing policy/Excise tax

Community action

Drink driving countermeasures

Reducing the impact of illicit and informal

Reducing negative consequBuildingences of o

Monitoring and surveillance



Harmful use of alcohol

The Story of Diadema,

REDUCING ALCOHOL AVAILABILITY & HOURS

4,800 bars told to close between 23h

and 6 am

2002

Homicides

Road Accidents 30%

Assaults against women 55%

Alcohol related homital admission 80%

Business improved

Copied by 120 municipalities and one



# PHYSICAL INACTIVITY

#### WHO RECOMMENDED STRATEGIES FOR PHYSICAL ACTIVITY

Adopt national guidelines

Establish multisectoral coordination nexus

Adopt a whole of government approach

Promote PA in activities of daily life:

Active transportation

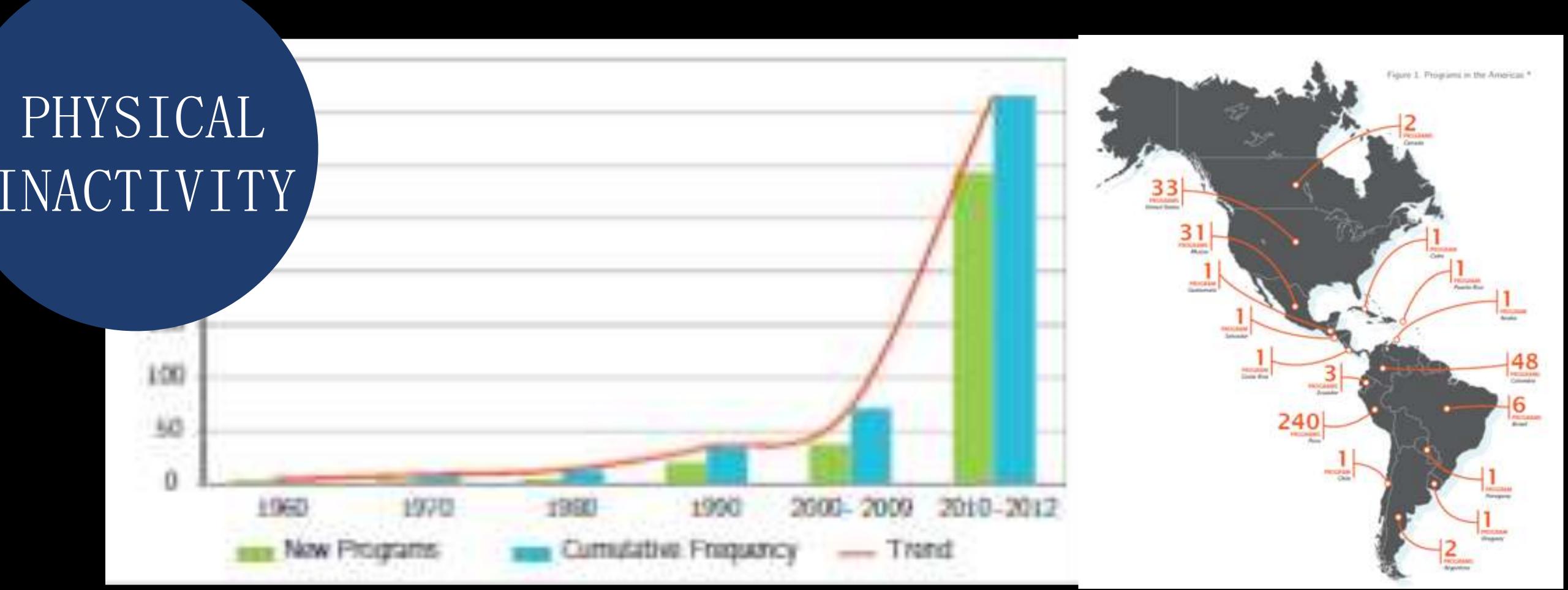
National and local urban planning and transportation measures

Stenghten PA in schools, preschool and other

PAHO Indicator: countries with 70% of schools with >=30 min/d of moderate to vigorous activity



#### OPEN STREET EXPANSIONS IN THE AMERICAS LAST 50 YEARS

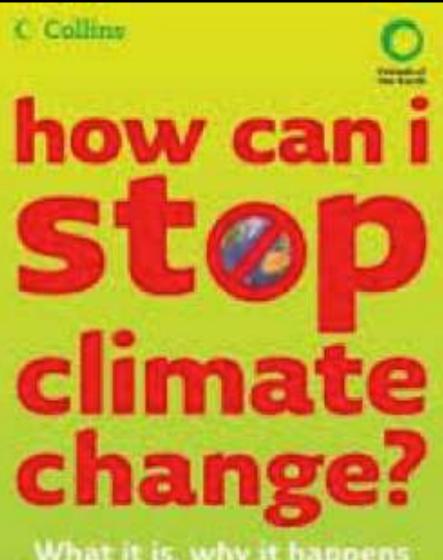




### PHYSICAL INACTIVITY

### ACTIVE TRANPORTATION: COBENEFITS





What it is, why it happens and what you can do to help



Country
Capacity

2013 SURVEY; 36 COUNTRIES RESPONDED Strengths in tobacco, weakness in other areas

97% HAVE NCD PLAN, BUT
ONLY

31% FOR ALCOHOL
22% FOR DIETARY RISKS
42% FOR PHYSICAL ACTIVITY
31% FOR OVERWEIGHT

Tobacco and alcohol taxes extensively used but too low



### WHERE DOES REGULATION SIT? OH VS. (SEMI) INDEPENDENT REGULATORY BODIES







AGENCY	COUNTRY	RISK ANALYSIS	RULE MAKING	AUTHORI ZATION	OPERATI ON	ECONOMIC INSTRUME NTS	SANCTION	CONSULTATIVE PROCESS
FDA	USA	YES	YES	YES	YES	NO	YES	YES
ANVISA	BRAZIL	YES	YES	YES	YES	NO	YES / SHARED	YES
COFEPRIS	MEXICO	YES	YES / SHARED	YES	YES	NO	YES	FOR RULE MAKING
INVIMA	COLOMBI A	NO / BY MOH TECHNICAL AREA	NO / BY MOH TECHNICAL AREA	YES	YES	NO	YES	N O
HEALTH	CANADA	YES / SHARED	YES / SHARED	YES	YES	NO	SHARED	YES



# LOOKING FORWARD



Meet the 25 x 25% premature mortality goal by achieving NCD risk factor reduction targets



Strengthen the public health stewardship function



Help level to playing field between societal and economic actors, strengthening fair governance

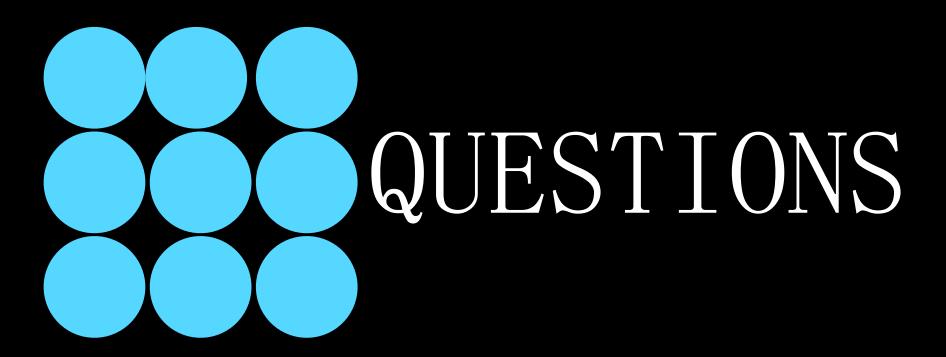
## BY:



# Looking forward

- 1. Institutional capacity building for effective regulation
- 2. Technical capacity building for NCD risk factor regulation
- 3. Evaluation of regulatory processes
- 4. Research
- 5. Technical cooperation by PASB & between states





What are the best arguments to make the case to advance regulatory action for NCD prevention in countr

Does tis first draft document provide forceful enough argu

Were the opportunities that motivate and facilitate regulatory action on NCD risk factors captu

Were the barriers to advancing regulatory action on NCD ricaptured and addressed?

thank you

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